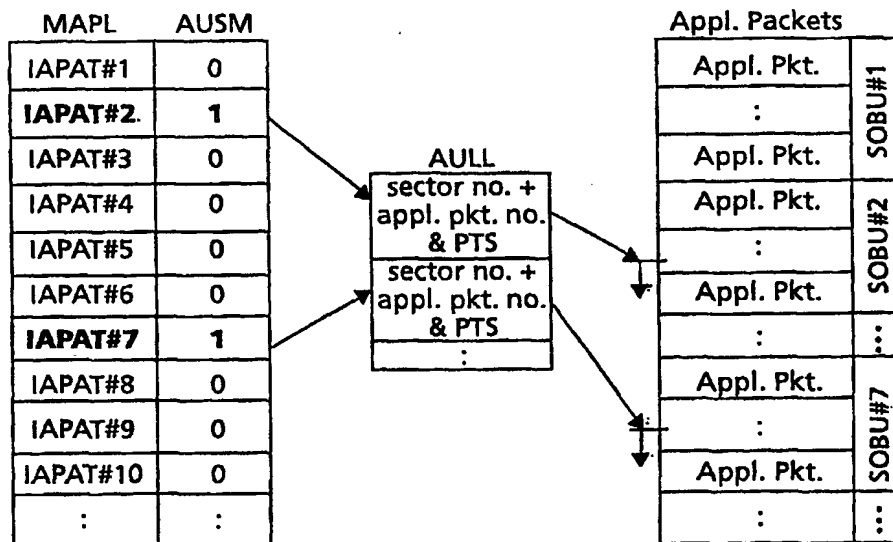




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<table style="width: 100%;"> <tr> <td style="width: 50%; vertical-align: top;"> <p>(21) International Application Number: <b>PCT/EP00/01929</b></p> <p>(22) International Filing Date: <b>6 March 2000 (06.03.00)</b></p> <p>(30) Priority Data:</p> <table style="width: 100%;"> <tr> <td style="width: 30%;">99250083.5</td> <td style="width: 30%;">19 March 1999 (19.03.99)</td> <td style="width: 40%;">EP</td> </tr> <tr> <td>99250139.5</td> <td>28 April 1999 (28.04.99)</td> <td>EP</td> </tr> <tr> <td>99250231.0</td> <td>13 July 1999 (13.07.99)</td> <td>EP</td> </tr> </table> <p>(71) Applicant (for all designated States except US): <b>DEUTSCHE THOMSON-BRANDT GMBH [DE/DE]; Hermann-Schwer-Str. 3, D-78048 Villingen-Schwenningen (DE).</b></p> <p>(72) Inventors; and</p> <p>(75) Inventors/Applicants (for US only): <b>WINTER, Marco [DE/DE]; Böhmerstr. 17, D-30173 Hannover (DE). SCHILLER, Harald [DE/DE]; Apfelgarten 11, D-30539 Hannover (DE).</b></p> <p>(74) Agent: <b>HARTNACK, Wolfgang; Deutsche Thomson-Brandt GmbH, European Patent Operations, Karl-Wiechert-Allee 74, D-30625 Hannover (DE).</b></p> </td> <td style="width: 50%; vertical-align: top;"> <p>(81) Designated States: AE, AL, AU, BA, BB, BG, BR, CA, CN, CR, CU, CZ, DM, EE, GD, GE, HR, HU, ID, IL, IN, IS, JP, KP, KR, LC, LK, LR, LT, LU, LV, MA, MG, MK, MN, MX, NO, NZ, PL, RO, SG, SI, SK, TR, TT, UA, US, UZ, VN, YU, ZA, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p> </td> </tr> </table>			<p>(21) International Application Number: <b>PCT/EP00/01929</b></p> <p>(22) International Filing Date: <b>6 March 2000 (06.03.00)</b></p> <p>(30) Priority Data:</p> <table style="width: 100%;"> <tr> <td style="width: 30%;">99250083.5</td> <td style="width: 30%;">19 March 1999 (19.03.99)</td> <td style="width: 40%;">EP</td> </tr> <tr> <td>99250139.5</td> <td>28 April 1999 (28.04.99)</td> <td>EP</td> </tr> <tr> <td>99250231.0</td> <td>13 July 1999 (13.07.99)</td> <td>EP</td> </tr> </table> <p>(71) Applicant (for all designated States except US): <b>DEUTSCHE THOMSON-BRANDT GMBH [DE/DE]; Hermann-Schwer-Str. 3, D-78048 Villingen-Schwenningen (DE).</b></p> <p>(72) Inventors; and</p> <p>(75) Inventors/Applicants (for US only): <b>WINTER, Marco [DE/DE]; Böhmerstr. 17, D-30173 Hannover (DE). SCHILLER, Harald [DE/DE]; Apfelgarten 11, D-30539 Hannover (DE).</b></p> <p>(74) Agent: <b>HARTNACK, Wolfgang; Deutsche Thomson-Brandt GmbH, European Patent Operations, Karl-Wiechert-Allee 74, D-30625 Hannover (DE).</b></p>	99250083.5	19 March 1999 (19.03.99)	EP	99250139.5	28 April 1999 (28.04.99)	EP	99250231.0	13 July 1999 (13.07.99)	EP	<p>(81) Designated States: AE, AL, AU, BA, BB, BG, BR, CA, CN, CR, CU, CZ, DM, EE, GD, GE, HR, HU, ID, IL, IN, IS, JP, KP, KR, LC, LK, LR, LT, LU, LV, MA, MG, MK, MN, MX, NO, NZ, PL, RO, SG, SI, SK, TR, TT, UA, US, UZ, VN, YU, ZA, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>
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(54) Title: METHOD FOR IMPLEMENTING TRICKPLAY MODES IN A DATA STREAM RECORDER



## (57) Abstract

Stream recording assumes e.g. a settop box to be connected to a DVD Streamer. The connection is e.g. of IEEE1394 type using interfaces including transmitting and receiving firmware. Stream Data include one or more Stream Objects which each can be stored as a Program Stream as described in ISO/IEC 13818-1, Systems. Each Stream Object contains its own Access Unit data. A trickplay mode, e.g. fast forward, is performed by selecting the desired Access Units which are derived from a mapping list with incremental application packet arrival times.

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## Method for implementing trickplay modes in a data stream recorder

The invention relates to an improved trickplay processing  
5 for a data stream recorder, in particular a DVD based data stream recorder.

### Background

10

Stream recording assumes an application device, e.g. a set-top box, connected to a DVD Streamer. Both devices are connected via e.g. an IEEE1394 (IEC 61883) interface including transmitting and receiving firmware.

15 Stream Data include one or more 'Stream Objects' which each can be stored as a 'Program Stream' as described in ISO/IEC 13818-1, Systems.

The following abbreviations are used in the description:

20 APAT: application packet arrival time, ATS: application timestamp, AU: access unit, AUD: AU data, AUELL: access unit end location list, AUEM: access unit end map, AULL: access unit location list, AUSLL: access unit start location list, AUSM: access unit start map, DTS: decoding timestamp, DVD: digital versatile disc, DVD RTRW: DVD realtime rewritable,  
25 DVD VR: DVD video recording, IAPAT: incremental application packet arrival time, MAPL: mapping list, LB: logical block, PAT: packet arrival time, PES: packetised elementary stream, PTS: presentation timestamp, SCR: system clock reference, SOB: stream object, STB: set top box, S\_PCK: stream pack,  
30 TOC: table of content.

A SOB can be terminated by a program\_end\_code. The value of the SCR field in the first pack of each SOB may be non-zero.

A SOB contains the Stream Data packed into a sequence of  
35 Stream Packs. Stream data can be organised as one elementary stream and are carried in PES packets with a stream\_id.

In Stream recording, the application performs its own padding so that the pack length adjustment methods of DVD-ROM Video or RTRW need not to be used. In Stream recording it is safe to assume, that the Stream packets will always have the  
5 necessary length.

### Invention

10 The invention allows to realise Access Units. The resulting AUs have a resolution range from 2 SOBUs up to 'application packet' exact. The precision depends on the used DVD Streamer, i.e. whether the DVD Streamer knows the application and e.g. how much RAM memory is available. Therefore  
15 the precision depends on the design of the manufacturer. Each SOB contains its own AU data. This AUD consists of a general information, one or two coarse lists and one or two fine lists.

The coarse list is called the Access Unit Start Map AUSM.  
20 The AUSM consists of N flags (N is the number of SOBUs of this SOB). Each flag belongs to one SOBU. The flag indicates that:

- an AU points into the corresponding SOBU or into the next SOBU;
- 25 • no corresponding AU exists for that flag.

A fine list is called the Access Unit Location List AULL and contains the exact locations of the application packets of all AUs. For each AU indicating AUSM/AUEM flag there exists  
30 one location information inside AULL.

Two kinds of AULLs exist:

The part inside the AULL containing the start location is called the Access Unit Start Location List AUSLL. The part inside the AULL containing the end location is called the  
35 Access Unit End Location List AUELL.

The complete AU information of an SOB consists of either

- the sector & application packet location of the start of the AU and
- the sector & application packet location of the end of the data which starts at the AU (e.g. the end of the I-frame) and
- the PTS of the AU or
- the start APAT of the AU
- the end APAT of the AU (e.g. the end of the I-frame) and
- the PTS of the AU or
- the start ATS of the AU
- the Access Unit End Map AUEM of the AU (for the end ATS of the AUs)
- the end ATS of the AU, based on AUEM, not AUSM, and
- the PTS of the AU.

It is possible to have a subset only of the above values, e.g. AUSM or AUSM and AUEM.

20

It is one object of the invention to disclose a method and a recorder for implementing trickplay modes in a data stream recorder. This object is achieved by the features disclosed in claim 1.

25

A trickplay mode, e.g. fast forward, is performed by selecting the desired AUs, e.g. each second AU, via AUSM/AUEM. The generation of AUSM, AUEM, AUSLL and AUELL during SOB recording is optional, i.e. is a matter of the manufacturer.

30 The use of AUSM, AUEM, AUSLL and AUELL for trickplay modes is also optional. However, it is mandatory to update AUSM, AUEM and AUELL in the case of editing. Fig. 3 to 5 show three examples.

35 The DVD Streamer specification defines the syntax of the

AUs, not the generation or use of the AUs. However, here are some examples for how to generate AUSM/AUEM and AULL:

- A) The application device sends after transmission of the stream special data which contain a list of AU as APATs, i.e. each APAT of the list is the APAT of one of the just recorded application packets. The streamer must assign each APAT to the corresponding application packet:  
A high end streamer generates a special list during stream recording. This list contains the APAT values of each recorded application packet and the corresponding location in the stream, e.g. sector No. and application packet No. . When the application sends the AU list as a list of APATs, the streamer is able to generate all lists: AUSM/AUEM (SOBU accurate) and AULL.  
A standard streamer has not enough memory to generate a list with APATs and application packet location information inside the local RAM. Therefore, in this case the streamer will generate only the AUSM (2 SOBU accurate), but not the AUEM and AULL. After that, a high end streamer could generate therefrom the accurate AULL and AUEM (SOBU accurate) and could refine AUSM SOBU accurate, e.g. during an idle mode of this high end streamer.
- B) The streamer contains dedicated hardware to parse the incoming stream, i.e. the application is known by the streamer. This parser recognises automatically Access Units like I-pictures. With such additional hardware AUSM/AUEM (SOBU accurate) and AULL can be easily generated during stream recording.
- C) The application uses special digital interface commands to mark an application packet as AU during transmission of the stream to the streamer. Then the streamer is able to generate AUSM/AUEM and AULL in parallel during stream recording if the digital interface is defined accordingly.
- D) The application knows nothing about the streamer. In this case AUs will not be generated. After that a high end

streamer can generate the missing AUSM/AUEM (SOBU accurate) and AULL, e.g. during idle mode of the streamer.

5 Trickplay modes can be applied with or without end of AU information.

*Without end of AU information:*

The trickplay mode, e.g. fast forward, is performed by searching for the desired AUs, e.g. each second AU, inside the AUSM. If existing, with AULL the exact location of the first application packet of the AU is known. Without AULL, 10 the streamer assumes that the AU is located anywhere in the SOBU indicated by AUSM or in the following SOBU. The streamer jumps to this position and starts the transmission of the application packets to the application with the first 15 application packet of this SOBU. The streamer stops the transmission after having transmitted a fixed amount of data, e.g. 1.8 Mbit or until the next AU, and jumps to the next desired AU. If the streamer knows the application it can parse the stream during transmission of the AU and will 20 stop the transmission when the end of the AU is reached, e.g. the end of an I-picture.

If the stream contains AU flags (AU start / AU end), then the transmission of the AU can also be performed application packet accurate.

25

*With end of AU information:*

The only difference to the first alternative is that, if the AULL exists, the transmission of an AU to the application device stops with the transmission of the last application 30 packet of the AU.

Bitstream data (start and end marks) and navigation data (for AUSM, AUEM, AULL) are stored on the disc separately, i.e. in different files.

35

In principle, the inventive method is suited for implement-

ing trickplay modes in a bitstream recorder, wherein the bitstream is organised in stream objects and access to the bitstream is performed using access units and access unit information is attached to the stream objects of the bitstream and to navigation data to be recorded, and wherein said access unit information includes an access unit start map, and optionally an access unit end map, which are used in the trickplay modes together with the navigation data for access to the bitstream.

In principle, the inventive bitstream recorder is suited for implementing trickplay modes, wherein the bitstream is organised in stream objects and access to the bitstream is performed using access units and access unit information is attached to the stream objects of the bitstream and to navigation data to be recorded, and wherein said access unit information includes an access unit start map, and optionally an access unit end map, which are used in the trickplay modes together with the navigation data for access to the bitstream.

Advantageous additional embodiments of the invention are disclosed in the respective dependent claims.

#### Drawings

Embodiments of the invention are described with reference to the accompanying drawings, which show in:

- Fig. 1 simplified overall system for DVD Stream Recording;
- Fig. 2 basic directory and file structure;
- Fig. 3 access to application packet via AUSM and AULL;
- Fig. 4 access to application packet via AUSM, but without AULL;
- Fig. 5 access to application packet whereby AULL also contains end of AU information;



- Fig. 6 table showing the maximum possible Access Unit support which is storable by a specific configuration;  
Fig. 7 structure of a Stream Object Information;  
Fig. 8 structure of the AUD\_FLAG byte;  
5 Fig. 9 structure of the Access Unit Data;  
Fig. 10 example of an AUSM and its corresponding SOBUs;  
Fig. 11 example of AUSM, AUSLL, AUEM, AUELL and the related data access mechanism.

10

### Exemplary embodiments

Fig. 1 shows a simplified block diagram of a settop box AD and a Stream recorder device STRD. AD interacts via an interface IF, e.g. an IEEE1394 interface, with STRD. AD sends  
15 its data via output buffering & timestamping handling means BTHOAD to IF and receives from IF data via input buffering & timestamping handling means BTHIAD. A streamer STR within STRD sends its data via output buffering & timestamping handling means BTHO to IF and receives from IF data via input  
20 buffering & timestamping handling means BTHI.

Instead of an IEEE1394 connection any other network like the Ethernet or the Internet can be used.

Instead of a settop box any other data stream source can be  
25 used, e.g. a DVD player or a PC or Internet receiver. In that case ANT and TU is replaced by e.g. an optical disc and a pickup.

The DVD Stream Recording system is designed to use rewritable DVD discs for recording existing digital bitstreams,  
30 editing them and playing them back as bitstreams. This system is designed to satisfy the following requirements:

- A timing mechanism, i.e. a time stamp is added to every broadcast packet to enable proper packet delivery during  
35 playback.
- To enlarge the fields of applications, non-real-time re-

ording should be possible. However, in this case the STB has to generate the timestamp information.

- Data allocation strategy and a file system to support real-time stream recording.
- 5 • Many digital services require Service Information which normally is embedded in the real-time stream. To support a STB fed by data from a DVD player, the DVD should provide additional space, which can be used by the STB to duplicate part of the service information and to add additional  
10 TOC information.
- Copy Protection must be supported. In addition, any scrambling performed by the service provider or the STB must be kept unchanged.

- 15 User requirements can be grouped into requirements for recording, requirements for playback, and requirements for editing:

#### *Real-time Recording*

- The system is designed to enable real-time recording of  
20 digital streams. It allows the user to concatenate recordings, even if those recordings consist of different stream formats. If recordings are concatenated, a seamless or close-to-seamless playback feature can be achieved, but is not required.

25

#### *Navigation Support*

To support navigation two pieces of information (lists) are generated during recording:

- 1) An 'original' version of a play list. This list contains  
30 quite low level information, e.g. time map or (broadcast) packet order of the recording. This list is accessible by the STB and the content is understood by the DVD streamer as well as by the STB. In its original version the playlist enables the playback of a complete recording. The playlist may  
35 be accessed and extended after recording by the STB to allow more sophisticated playback sequences.

- 2) The second piece of information, a mapping list, is generated to support the stream recorder to retrieve packet stream chunks (cells), that are described in terms of the application domain, e.g. 'broadcast packets' or 'time'. This  
5 list is owned and understood by the DVD streamer only.

#### *Content Description*

- The system can reserve space which can be used by the STB to store high-level TOC and Service Information. This information is provided for the user to navigate through the content stored on disc and may contain sophisticated EPG information. The content needs not to be understood by the stream recorder. However a common subset of the TOC information, e.g. based on a character string, may be useful to be shared  
15 between STB and DVD, in order to enable the stream recorder to provide a basic menu by itself.

#### *Player menus for access unit selection*

- Playback of individual recording and playing all recordings sequentially is possible via a play list.  
20 The STB can generate a sophisticated menu based on the TOC information stored on the disc. A simple menu is generated by the streamer itself, e.g. via some 'character' information which is shared by STB and DVD.
- 25 The DVD streamer creates the 'original version' of the play list. It can allow extensions and modifications of the play list by the STB for more sophisticated playback features. The DVD streamer is not responsible for the content of those sophisticated playlist(s).
- 30 The system supports the deletion of single recordings on user's request. Preferably the system allows this feature under the control of the STB.
- The system may support insert editing.

- 35 Concerning the directory and file structure, the organisation of Stream Data and Navigation Data of DVD Stream Re-

cording is done in a specific way such as to take into account the following:

- Any DVD Streamer device has certain requirements to store its own housekeeping data or Streamer-specific navigation data on the disc. These data are solely for helping the retrieval of recorded data; they need not be understood or even be visible to any outside application device AD.
- Any DVD Streamer device needs to communicate with the application device AD it is connected to. This communication is as universal as possible so that the maximum possible range of applications can be connected to the Streamer. The Navigation Data to support such communication are called Common navigation data and must be understandable by the Streamer as well as by the application device.
- The Streamer device offers to the connected application device AD a means for storing its own private data of any desired kind. The Streamer needs not to understand any of the content, internal structure, or meaning of this application-specific navigation data.

A possible directory and file structure is described in connection with Fig. 2. Under the root directory, the files storing the disc content are placed under the STRREC directory. Under the STRREC directory the following files are created:

- COMMON.IFO  
Basic information to describe the stream content. Needs to be understood by the Application Device as well as the Streamer.
- STREAMER.IFO  
Private housekeeping information specific to the Streamer Device. Needs not to be understood by the Application Device.
- APPLICAT.IFO  
Application Private Data, i.e. information that is spe-

cific to the Application(s) connected to the Streamer.  
Needs not to be understood by the Streamer.

- REALTIME.SOB

Recorded real-time stream data proper.

- 5 Note that except for the files described above, the STRREC directory shall not contain any other files or directories.

The DVD Streamer Format Draft, version 0.3, realises trick play support by the Entry Point Data of Section 2.2.3.3.3.

- 10 According to the invention, some of these features have been revised in order to allow improved trickplay modes. The invention takes the following into account:

- The sector based addressing mechanism has been deleted.
- The wordlength of the time based addressing information  
15 has been changed from a 6 byte time value of the APAT type to a 4 byte time value of the ATS type. As a side effect, a second bit flag array AUEM has been introduced in parallel to the already existing AUSM. In this new format, the time based address information is not only more compact,  
20 but also more directly usable.
- All 'Entry Point XXX' terms have been renamed to 'Access Unit XXX' in order to avoid confusion with the user controlled Entry Points in Cell Information, which still exist.

- 25 The invention can also be used without value AULL.

As shown in Fig. 7 the Stream Object Information SOBI includes the Stream Object Information General Information  
30 SOBI\_GI, the Mapping List MAPL and the Access Unit Data AUD, if any. The mapping list includes incremental application packet arrival times and is described in more detail in EP 98250387.2 of the applicant.

- 35 SOBI\_GI may have the following format:

	Contents	Number of Bytes
(1) SOB_TY	SOB Type	1
(2) SOB_REC_TM	SOB Recording Time	5
(3) SOB_STI_N	SOB Stream Information Number	1
(4) AUD_FLAGS	Access Unit Data Flags	1
(5) SOB_S_APAT	SOB Start APAT	6
(6) SOB_E_APAT	SOB End APAT	6
(7) SOB_S_SOB	first SOBU of this SOB	4
(8) MAPL_ENT_Ns	number of Mapping List entries	4
	Total	28

## (1) SOB\_TY

Describes the Stream Object Type, containing bits for Temporal Erase state (TBD) and for Copy Generation Management System (TBD).

## 5 (2) SOB\_REC\_TM

Describes the recording time of the associated Stream Object in DVD Stream Recording's Date and Time Describing Format defined above.

## (3) SOB\_STI\_N

10 Describes the index of the SOB\_STI which is valid for this Stream Object.

## (4) AUD\_FLAGS

Indicates whether and what kind of Access Unit Data exist for this SOB. If Access Unit Data exist, then AUD\_FLAGS also describes several properties of the Access Unit Data. The Access Unit Data itself is described below and includes the number of Entry Points and the tables AUSM, AUSLL, AUEM, AUELL and PTSLL. The content of AUD\_FLAGS is depicted in Fig. 8.

20 RTAU\_FLG 0: no AU flags exist inside the RT Data of this SOB

1: AU flags may exist inside the RT Data of this SOB. This state is even allowed, when no further Access Unit Data exist for this SOB, i.e. if AUD\_FLG = 0b.

25

- AUD\_FLG 0: no Access Unit Data exist for this SOB. The bits b5, b4, b3 and b2 of EP\_FLAGS shall be set to 0.
- 5 1: Some Access Unit Data (as further specified by the subsequent flags) exist for this SOB, behind the MAPL.
- AUSLL\_FLG 0: no AUSLL of this SOB exists
- 1: AUSLL of this SOB exists
- AUEM\_FLG 0: no AUEM of this SOB exists. AUELL\_FLG must then also be set to 0b.
- 10 1: AUEM of this SOB exists
- AUELL\_FLG 0: no AUELL of this SOB exists
- 1: AUELL of this SOB exists. Is only allowed if AUEM\_FLG = 1b.
- 15 PTSL\_FLG 0: no PTSL of this SOB exists
- 1: PTSL of this SOB exists

#### (5) SOB\_S\_APAT

Describes the start Application Packet Arrival Time APAT of the Stream Object, i.e. the packet arrival time of the first packet belonging to the SOB. SOB\_S\_APAT is described in DVD Stream Recording's PAT Describing Format defined below:

PATs are divided into two parts, namely a base part and an extension part. The base part PAT\_base (bits 9 to 47) holds the so-called 90kHz unit value, and the extension part PAT\_exten (bits 0 to 8) holds the less significant value measured in 27MHz:

$$\text{PAT in seconds} = \text{PAT\_base}/90\text{kHz} + \text{PAT\_exten}/27\text{MHz}$$

For a unique representation of times, PAT\_exten must be in the range of  $0 \leq \text{PAT\_exten} < 300$ . Together, PAT\_base and PAT\_exten cover a range of more than 1696 hours.

#### (6) SOB\_E\_APAT

Describes the end Application Packet Arrival Time of the Stream Object, i.e. the packet arrival time of the last packet belonging to the SOB, in DVD Stream Recording's PAT

Describing Format.

(7) SOB\_S\_SOB

Describes the number of the start Stream Object Unit, i.e. the Stream Object Unit containing the first Application

5 Packet of the Stream Object.

(8) MAPL\_ENT\_Ns

Describes the number of Mapping List entries to follow after SOBI\_GI.

10 As shown in Fig. 9, the Access Unit Data AUD, if any, include the Access Unit General Information AU\_GI, and may also include the Access Unit Start Location List AUSLL, the Access Unit End Map AUEM, the Access Unit End Location List AUELL and/or the Presentation Time Stamp List PTSLL. Which of  
15 these parts exist is indicated by AUD\_FLAGS of SOBI\_GI, see above.

AU\_GI only exists if AUD\_FLAGS of SOBI\_GI indicates that Access Unit Data exist.

	Contents	Number of Bytes
(1) AU_Ns	number of Access Units	4
(2) AUSM	Access Unit Start Map (MAPL_ENT_Ns entries)	(MAPL_ENT_Ns+7) div 8
	Total	4+(MAPL_ENT_Ns+7) div 8

20 (1) AU\_Ns

Describes the number of Access Units described for this SOB. At the same time, AU\_Ns describes the number of locations where AUSM indicates the existence of an Access Unit.

(2) AUSM

25 The Access Unit Start Map indicates which of the SOBUs of this SOB contain Access Units. For each SOBU of the SOB, exactly one AUSM entry exists. Therefore the AUSM consists of MAPL\_ENT\_Ns entries. Each AUSM entry indicates an accessible Access Unit somewhere within the corresponding SOBU or  
30 within the subsequent SOBU. Exactly AU\_Ns Access Units are indicated by the AUSM, equivalent to exactly AU\_Ns bits of



AUSM being equal to '1'.

AUSM shall be byte aligned. If the concatenated AUSM entries consist of a number of bits which are not an integer multiple of '8', then the remaining LSBs of the last byte of the  
5 AUSM shall be the necessary additional padding bits. These alignment bits shall be set to '0'.

Fig. 10 shows an example of an AUSM and its corresponding  
10 SOBUs. With this kind of Access Unit Data, no more than one addressable Access Unit can be described per each SOBU of the SOB.

Concerning the Access Unit Start Location List AUSLL, Access  
15 Unit End Map AUEM and Access Unit End Location List AUELL, AUSLL is a list of location information to find the application packet where the bitstream segments of the Access Units start. Therefore, if AUSLL exists, each Access Unit as  
20 marked in AUSM has exactly one AUSLL entry associated to it. AUEM, if it exists, is a bit array of the same length as AUSM. The bits in AUEM indicate which of the SOBUs contain the end of the bitstream segment associated with the Access units of the SOB. The number of bits set in AUEM must be  
25 equal to the number of bits set in AUSM. AUELL, if it exists, is a list of location information to find the exact application packet where the bitstream segments of the Access Units stop. Therefore, if AUELL exists,  
30 each Access Unit as marked in AUEM has exactly one AUELL entry associated to it. Each application packet, indicated by the AUELL entries, is the last application packet belonging to the Access Unit.

The entries of AUSLL and AUELL are in ascending order, i.e.

- the first AUSLL/AUELL entry is associated to the SOBU number, where AUSM/AUEM - read from the left to the right -  
35 has a bit set to '1' for the first time
- the second AUSLL/AUELL entry is associated to the SOBU

number, where AUSM/AUEM - read from the left to the right  
 - has a bit set to '1' for the second time

- and so on.

- 5 The entries of AUSLL and AUELL are time based, i.e. their entries are defined as

	Contents	Number of Bytes
(1) AU_ATS	ATS of the designated Application Packet	4
	Total	4

(1) AU\_ATS

- AU\_ATS describes the Application Time Stamp of an application packet inside the SOBU associated with this entry. When data readout has begun at the start of the SOBU, these AU\_ATS are identified by comparing them with the individual ATS of the Application Packets inside the bitstream data. Fig. 11 shows an example of AUSM, AUSLL, AUEM, AUELL and the related data access mechanism.

15

The Presentation Time Stamp List PTSL is the list of the Presentation Time Stamps of all the Access Units of the SOB, i.e. if PTSL exists, each Access Unit has exactly one corresponding PTSL entry, and PTSL then has AU\_Ns entries. The entries of PTSL are in ascending order, i.e.

20

- the first PTSL entry is associated to the Access Unit occurring first inside AUSM
- the second PTSL entry is associated to the Access Unit occurring second inside AUSM

- 25 • and so on.

Each PTSL entry is defined as

	Contents	Number of Bytes
(1) PTS	PTS of the corresponding Access Unit	4
	Total	4

The entries of the table depicted in Fig. 6 show the maximum possible Access Unit support which is storable by the de-

scribed configuration. This is the performable support just after an SOB recording. If an entry consists of two states, separated by a slash, that entry describes the following:

- left side of the slash: the status just after the re-  
5 cording of a SOB
- right side of the slash: the status after a second off-  
line session, e.g. an hour at night.

Some explanations for using this Access Unit Support table:

- 10 SOBU desired application packet is in the indicated SOBU;
- 2 SOBU desired application packet is in the indicated SOBU  
or in the following SOBU;
- APAT complete APAT of the desired application packet. The  
streamer is not able to calculate directly the sec-  
15 tor and application packet number from the APAT,  
i.e. an access to the application must be performed  
via the MAPL;
- packet exact and direct application packet location. The  
location is given by a sector number and the appli-  
20 cation packet number inside this sector.

Different DVD Streamer types are listed horizontally:

- simple Streamer, less memory:  
A streamer without any dedicated knowledge about the ap-  
25 plication STB. The streamer has just enough RAM to store a  
coarse list which indicates the SOBUs containing an AU.
- Streamer is simple but additional memory is available:  
Similar to the previous streamer. The only different is  
a) just enough memory for AUs: the streamer has additional  
30 RAM to store the complete AU information (a coarse list +  
AU start location + AU end location + PTS);  
b) more memory: the streamer has additional RAM to store  
the complete AU information (coarse list + AU start loca-  
tion + AU end location + PTS) and the exact packet loca-  
35 tion + ATS inside the RAM for each incoming application  
packet during recording.

- Streamer with dedicated hardware to parse streams, less memory:  
the streamer has just enough RAM to store a list which indicates the SOBUs containing an AU. The streamer knows the application, i.e. the streamer is able to find the AUs (start, end and PTS) during recording and playback due to the implemented stream parser.
- Streamer with dedicated hardware to parse streams, additional memory is available:  
this streamer has additional RAM to store the complete AU information (coarse list + AU start location + AU end location + PTS). The streamer knows the application, i.e. the streamer is able to find the AUs (start, end and PTS) during recording and playback due to the implemented stream parser.

Various application device types are listed vertically:

- simple STB:  
the application is not aware of the existence of the streamer.
- STB sends AU list after recording:  
the application knows that a streamer records the sent application packets. After recording of a take (SOB) the application sends a list of AU information (AU start ATS + AU end ATS + PTS) to the streamer.
- STB sends AUs during recording:  
the application knows that a streamer records the sent application packets. During recording of a take (SOB) the application sends in parallel, e.g. via an isochronous channel, AU information (AU start ATS + AU end ATS + PTS) to the streamer.

The navigation data related to one Access Unit includes four items of information:

- coarse:  
coarse list. The list describes the SOBUs which have an

AU.

- fine:  
fine list. This list describes the unambiguous location of  
the AU either as APAT or as sector number + application  
5 number inside this sector.
- last:  
fine list of the last application packet which belongs to  
this AU. It's also a list of the unambiguous location of  
each AU either as APAT or as sector number + application  
10 number inside this sector.
- PTS:  
list of PTSs. Each AU has exact one PTS.
- stream:  
means AU marks inside the stream. If 'yes' the stream con-  
15 tains additional information for the streamer to detect  
such application packets which contain an AU start or an  
AU end.

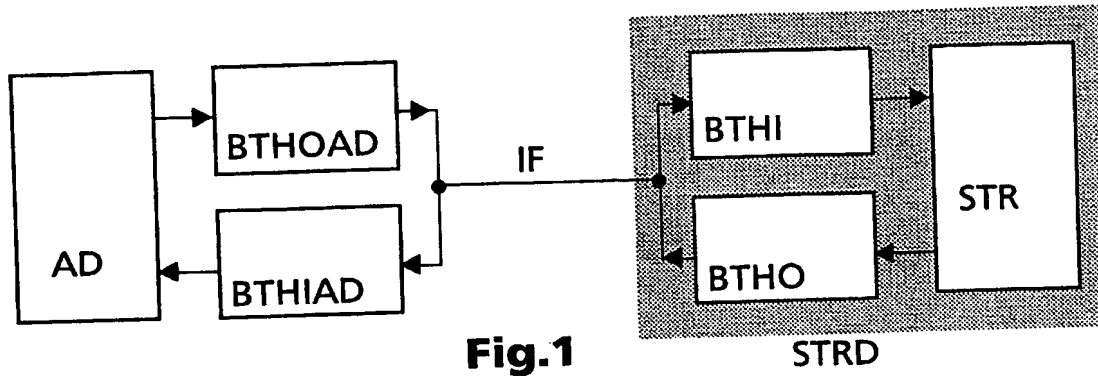
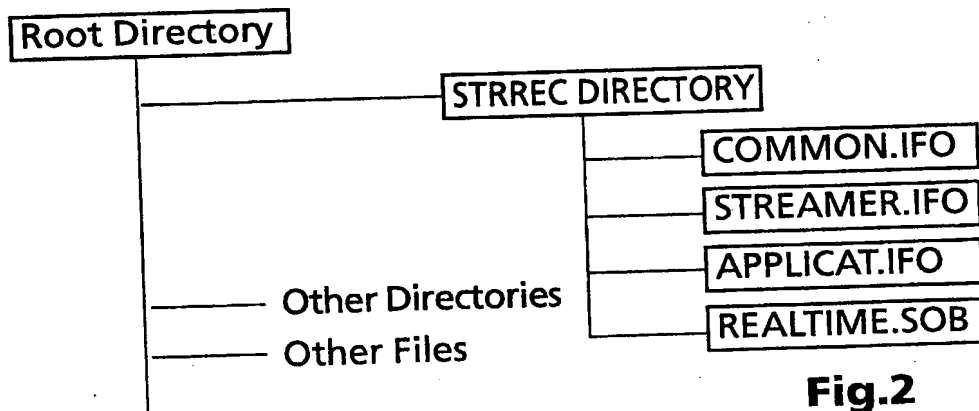
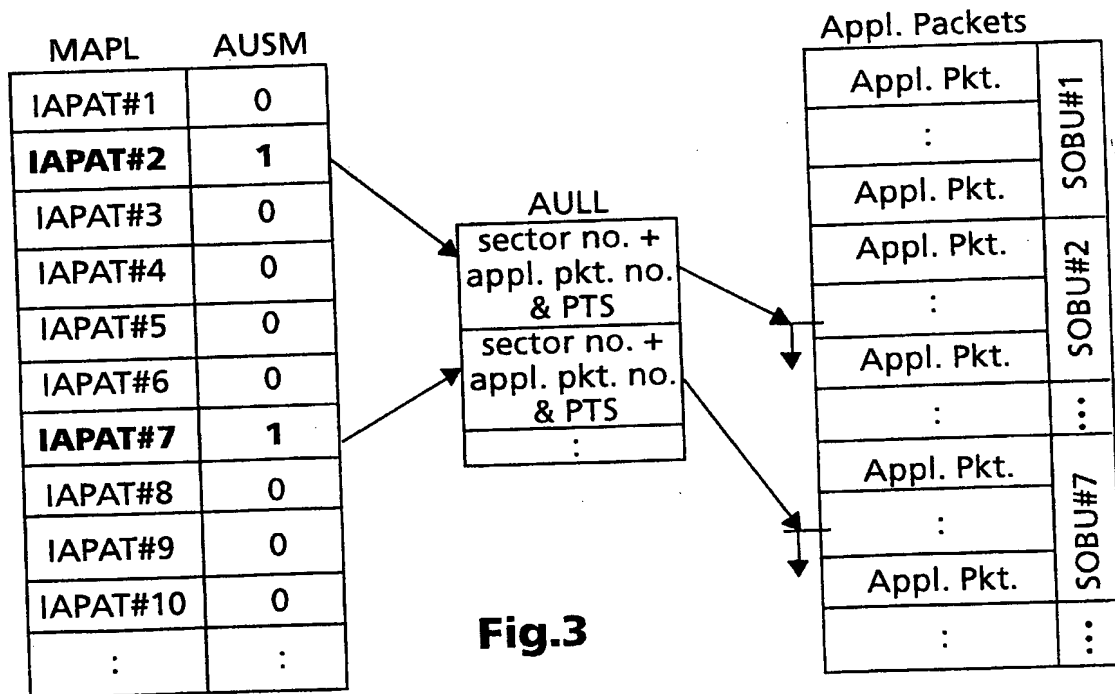
Claims

1. Method for implementing trickplay modes in a bitstream recorder (STRD), wherein the bitstream is organised in stream objects (SOB) and access to the bitstream is performed using access units (AU) and access unit information is attached to the stream objects of the bitstream and to navigation data recorded, or to be recorded, and wherein said access unit information includes an access unit start map (AUSM), and optionally an access unit end map (AUEM), which are used in the trickplay modes together with the navigation data for access to the bitstream.
2. Bitstream recorder (STRD) implementing trickplay modes, wherein the bitstream is organised in stream objects (SOB) and access to the bitstream is performed using access units (AU) and access unit information is attached to the stream objects of the bitstream and to navigation data recorded, or to be recorded, and wherein said access unit information includes an access unit start map (AUSM), and optionally an access unit end map (AUEM), which are used in the trickplay modes together with the navigation data for access to the bitstream.
3. Method or recorder according to claim 1 or 2, wherein said trickplay modes include fast forward, fast reverse, slow motion, single picture step and/or still picture.
4. Method or recorder according to any of claims 1 to 3, wherein said bitstream contains access unit start and access unit end marks which indicate the start or the end of an access unit, respectively.
5. Method or recorder according to any of claims 1 to 4, wherein said access unit information includes an access

unit start map (AUSM) and optional one or more of access unit end map (AUEM), access unit start location list (AUSLL) and access unit end location list (AUELL).

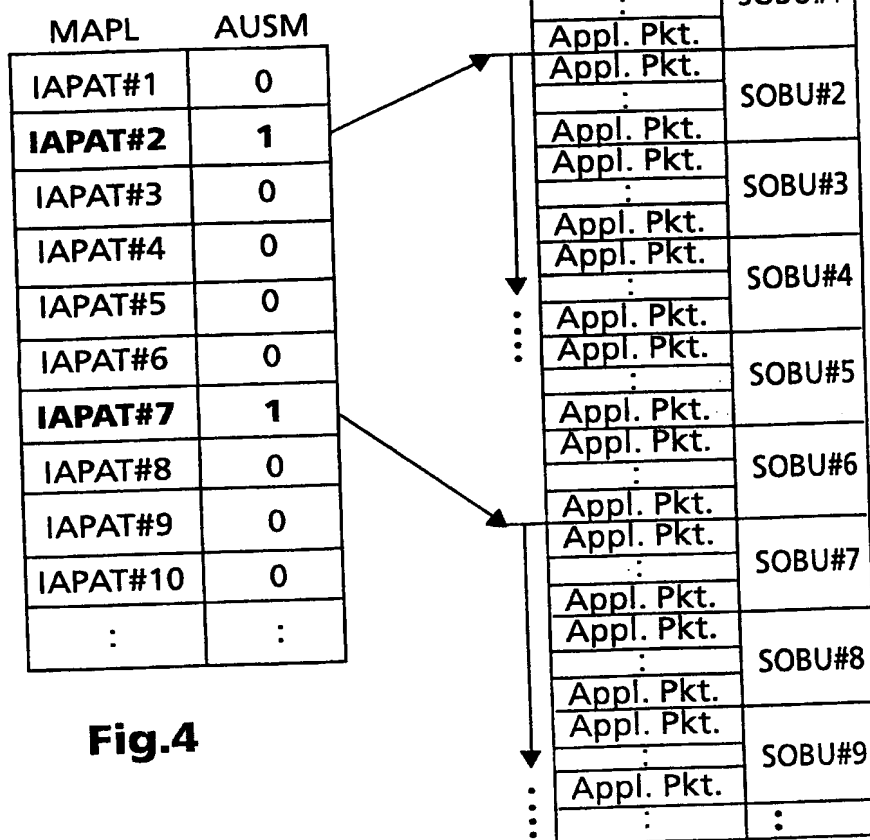
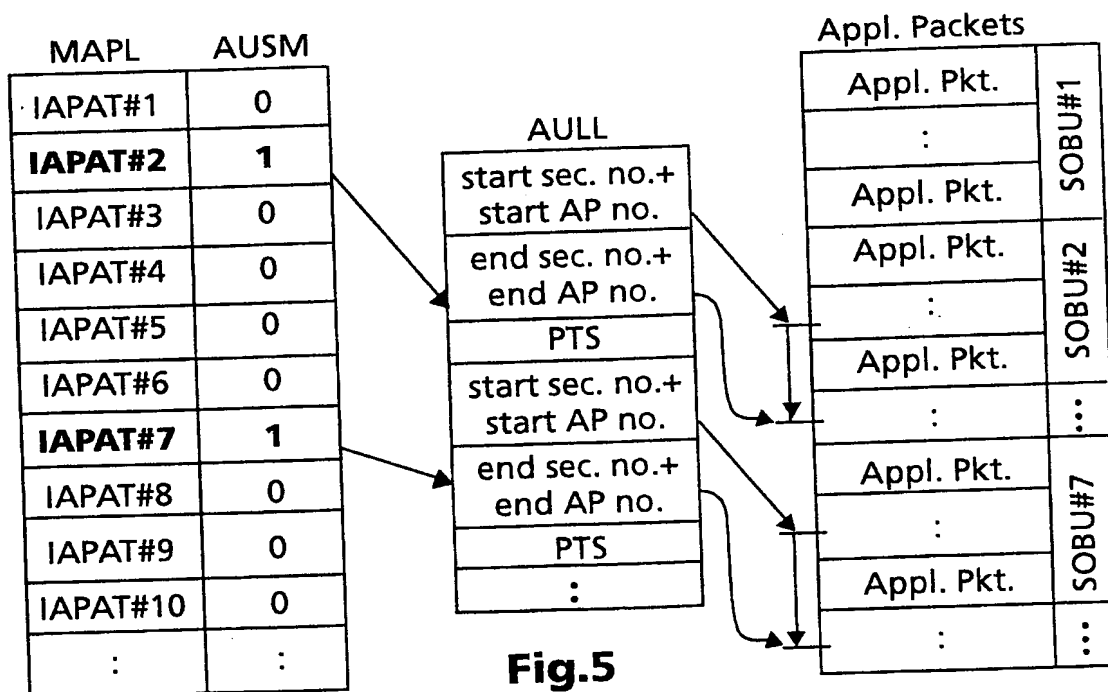
- 5    6. Method or recorder according to claim 5 wherein, if the access unit end map (AUEM) exists, for each access unit start map (AUSM) entry an access unit end map (AUEM) entry is provided.
- 10   7. Method or recorder according to claim 5 or 6, wherein the index of each access unit end map entry is equal to or greater than the entry index of its corresponding access unit start map entry and is less than the index of the immediately following access unit start map entry if any
- 15   following access unit start map entry exists.

1/5

**Fig.1****Fig.2****Fig.3**



2/5

**Fig.4****Fig.5**

3/5

Streamer		3/5			Streamer with dedicated hardw. to parse streams, less memory	Streamer with dedicated hardw. to parse streams, add.mem. is avail.
STB		simple streamer less memory	just enough for AUs	more memory		
simple STB	coarse	-	-	-	SOBU	SOBU
	fine	-	-	-	-/packet	packet
	last	-	-	-	-/packet	packet
	PTS	-	-	-	-/yes	yes
	stream	-	-	-	yes	yes
STB	coarse	2	2 SOBU/SOBU	SOBU	SOBU	SOBU
		SOBU/SOBU				
	fine	APAT/packet	APAT/packet	packet	APAT/packet	packet
	last	APAT/packet	APAT/packet	packet	APAT/packet	packet
	PTS	yes	yes	yes	yes	yes
sends AU list after record.	stream	-/yes	-/yes	-/yes	yes	yes
	coarse	SOBU	SOBU	SOBU	SOBU	SOBU
	fine	-/packet	packet	packet	-/packet	packet
	last	-/packet	packet	packet	-/packet	packet
	PTS	-	yes	yes	-/yes	yes
STB sends AUs during record.	stream	yes	yes	yes	yes	yes

Fig.6

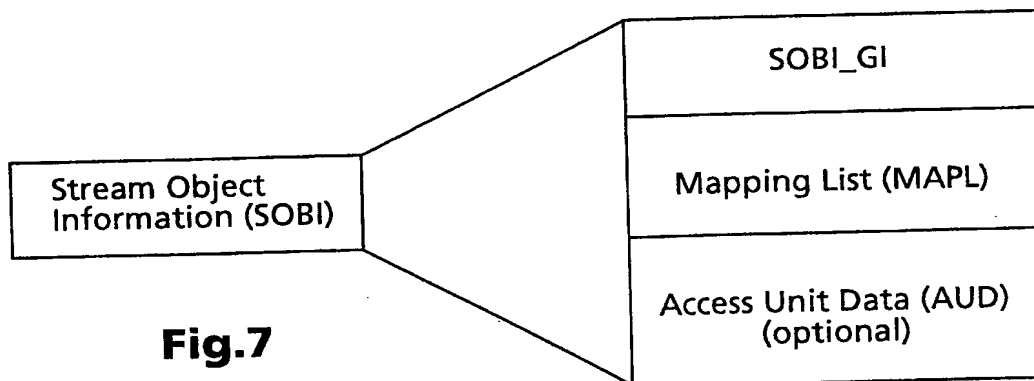


Fig.7

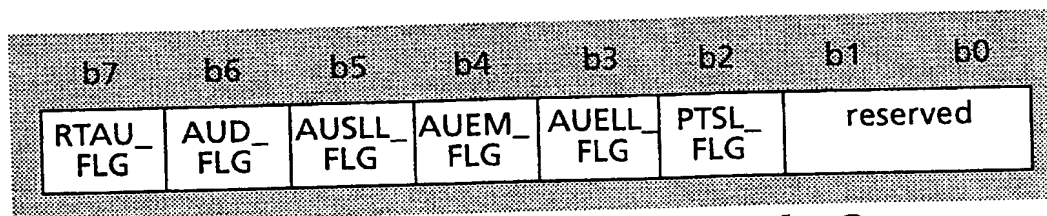
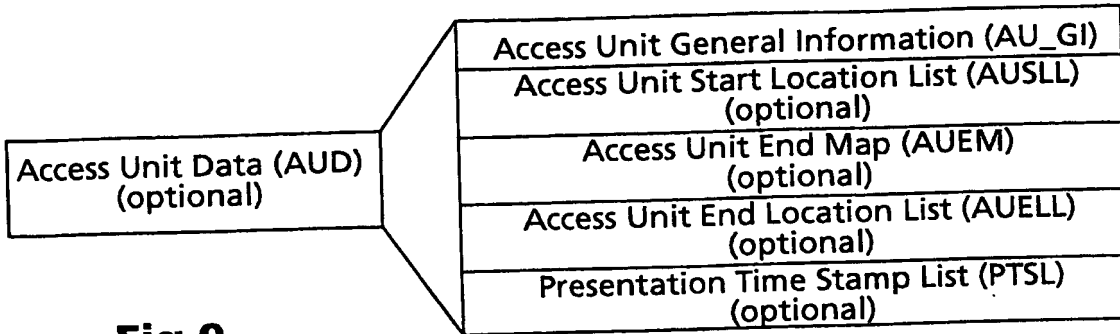
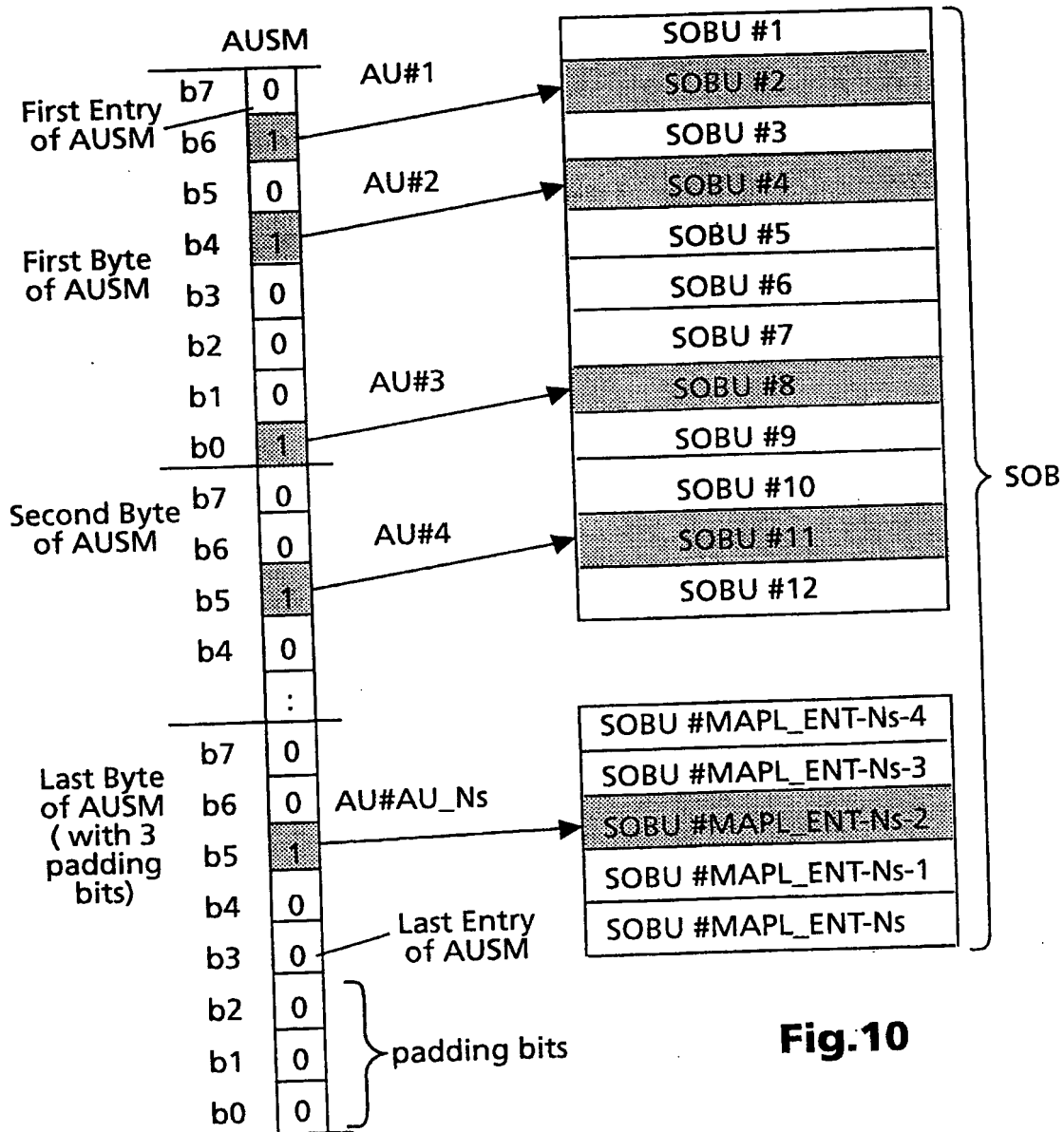
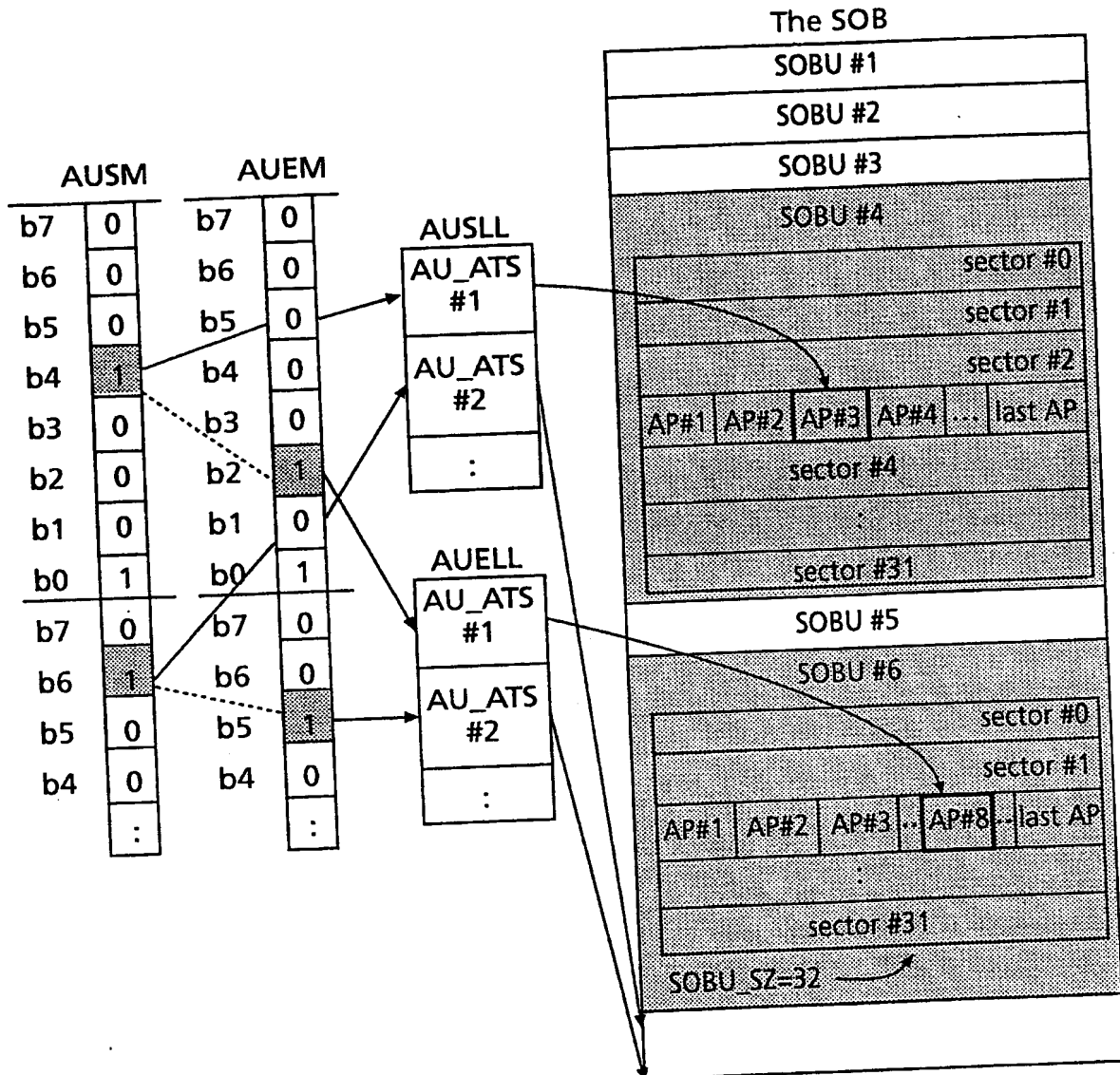


Fig.8

4/5

**Fig.9****Fig.10**

5/5

**Fig.11**

# INTERNATIONAL SEARCH REPORT

Int'l Application No  
PCT/EP 00/01929

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 G11B27/32 G11B20/12 G11B27/10 H04N9/804 //H04N5/85

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 G11B H04N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, INSPEC

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	EP 1 021 048 A (TOKYO SHIBAURA ELECTRIC CO) 19 July 2000 (2000-07-19) page 7, line 48 -page 9, line 10 page 16, line 54 -page 17, line 1 page 18, line 26 -page 19, line 45 ---	1-7
E	EP 0 986 248 A (THOMSON BRANDT GMBH) 15 March 2000 (2000-03-15) page 9, line 32-45 ---	1,2
A	EP 0 729 153 A (HITACHI LTD) 28 August 1996 (1996-08-28) the whole document ---	1-3
A	EP 0 673 034 A (SONY CORP) 20 September 1995 (1995-09-20) page 24, line 39 -page 28, line 16 --- -/--	1-3

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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Date of the actual completion of the international search

28 July 2000

Date of mailing of the international search report

04/08/2000

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# INTERNATIONAL SEARCH REPORT

Int. .ional Application No  
PCT/EP 00/01929

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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